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| | | | |
|-------------------------------------------------------|--|------------------------|--------------------------|
| UTILITY PATENT APPLICATION TRANSMITTAL | | Attorney Docket No. | CID 004 |
| | | First Inventor | Shane Chen |
| | | Title | Self-Propelled Hydrofoil |
| | | Express Mail Label No. | ER 070599192US |

(Only for new nonprovisional applications under 37 CFR 1.53(b))

| | | | |
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| APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents.</small> | | ADDRESS TO: | PTO 22264 US 09/07/03 |
| | | Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450 | |
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i></p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages 13] <i>(preferred arrangement set forth below)</i></p> <ul style="list-style-type: none"> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 6]</p> <p>5. Oath or Declaration [Total Sheets 2]</p> <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i> <p>i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Form (CRF) b. <input type="checkbox"/> Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> Paper c. <input type="checkbox"/> Statements verifying identity of above copies </p> | | | |

ACCOMPANYING APPLICATION PARTS

- 9. Assignment Papers (cover sheet & document(s))
- 10. 37 CFR 3.73(b) Statement Power of *(when there is an assignee)* Attorney
- 11. English Translation Document *(if applicable)*
- 12. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations
- 13. Preliminary Amendment
- 14. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
- 15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- 16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- 17. Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No.:

Prior application information: Examiner _____ Art Unit: _____
For CONTINUATION OF DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

| | | |
|------------------------------------------------------|-----------------------|----------------------------------------------------------|
| <input checked="" type="checkbox"/> Customer Number: | 25,866 | OR <input type="checkbox"/> Correspondence address below |
| Name | Steven J. Adamson, PC | |
| Address | | |
| City | State | Zip Code |
| Country | Telephone | Fax |
| Name (Print/Type) | Steven J. Adamson | Registration No. (Attorney/Agent) 32,776 |
| Signature | | |

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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07/03

PTO/SB/17 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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FEET TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$)

Complete if Known

| | |
|----------------------|------------|
| Application Number | |
| Filing Date | |
| First Named Inventor | Shane Chen |
| Examiner Name | |
| Art Unit | |
| Attorney Docket No. | CID 004 |

METHOD OF PAYMENT (check all that apply)

Check Credit card Money Order Other None

Deposit Account:

Deposit
Account
Number
Deposit
Account
Name

01 - 0272

The Director is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application
 Charge fee(s) indicated below, except for the filing fee
 to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | Fee Code (\$) | Fee | Small Entity | Fee Code (\$) | Fee | Fee Description | Fee Paid |
|-------------------|---------------|------|--------------|---------------|-----|------------------------|----------|
| 1001 | 750 | 2001 | 375 | 130 | 65 | Utility filing fee | 375,- |
| 1002 | 330 | 2002 | 165 | 50 | 25 | Design filing fee | |
| 1003 | 520 | 2003 | 260 | 130 | 130 | Plant filing fee | |
| 1004 | 750 | 2004 | 375 | 130 | 130 | Reissue filing fee | |
| 1005 | 160 | 2005 | 80 | 130 | 130 | Provisional filing fee | |
| SUBTOTAL (1) (\$) | | | | | | | |

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| | | Fee from below | Fee Paid |
|--------------------|----|-------------------|----------|
| Total Claims | 17 | -20** = 0 X = | |
| Independent Claims | 3 | - 3** = 0 X = | |
| Multiple Dependent | | | |

| Large Entity | Small Entity | Fee Description |
|-------------------|--------------|-------------------------------------------------------------------|
| 1202 | 18 | 2202 9 Claims in excess of 20 |
| 1201 | 84 | 2201 42 Independent claims in excess of 3 |
| 1203 | 280 | 2203 140 Multiple dependent claim, if not paid |
| 1204 | 84 | 2204 42 ** Reissue independent claims over original patent |
| 1205 | 18 | 2205 9 ** Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2) (\$) | | |

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

| Large Entity | Fee Code (\$) | Fee | Small Entity | Fee Code (\$) | Fee | Fee Description | Fee Paid |
|--------------|---------------|------|--------------|---------------|--------|----------------------------------------------------------------------------|----------|
| 1051 | 130 | 2051 | 65 | 130 | 65 | Surcharge - late filing fee or oath | |
| 1052 | 50 | 2052 | 25 | 50 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 1053 | 130 | 1053 | 130 | 130 | 130 | Non-English specification | |
| 1812 | 2,520 | 1812 | 2,520 | 2,520 | 2,520 | For filing a request for ex parte reexamination | |
| 1804 | 920* | 1804 | 920* | 920* | 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 | 1,840* | 1805 | 1,840* | 1,840* | 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 | 110 | 2251 | 55 | 110 | 55 | Extension for reply within first month | |
| 1252 | 410 | 2252 | 205 | 410 | 205 | Extension for reply within second month | |
| 1253 | 930 | 2253 | 465 | 930 | 465 | Extension for reply within third month | |
| 1254 | 1,450 | 2254 | 725 | 1,450 | 725 | Extension for reply within fourth month | |
| 1255 | 1,970 | 2255 | 985 | 1,970 | 985 | Extension for reply within fifth month | |
| 1401 | 320 | 2401 | 160 | 320 | 160 | Notice of Appeal | |
| 1402 | 320 | 2402 | 160 | 320 | 160 | Filing a brief in support of an appeal | |
| 1403 | 280 | 2403 | 140 | 280 | 140 | Request for oral hearing | |
| 1451 | 1,510 | 1451 | 1,510 | 1,510 | 1,510 | Petition to institute a public use proceeding | |
| 1452 | 110 | 2452 | 55 | 110 | 55 | Petition to revive - unavoidable | |
| 1453 | 1,300 | 2453 | 650 | 1,300 | 650 | Petition to revive - unintentional | |
| 1501 | 1,300 | 2501 | 650 | 1,300 | 650 | Utility issue fee (or reissue) | |
| 1502 | 470 | 2502 | 235 | 470 | 235 | Design issue fee | |
| 1503 | 630 | 2503 | 315 | 630 | 315 | Plant issue fee | |
| 1460 | 130 | 1460 | 130 | 130 | 130 | Petitions to the Commissioner | |
| 1807 | 50 | 1807 | 50 | 50 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 1806 | 180 | 1806 | 180 | 180 | 180 | Submission of Information Disclosure Stmt | |
| 8021 | 40 | 8021 | 40 | 40 | 40 | Recording each patent assignment per property (times number of properties) | |
| 1809 | 750 | 2809 | 375 | 750 | 375 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1810 | 750 | 2810 | 375 | 750 | 375 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 1801 | 750 | 2801 | 375 | 750 | 375 | Request for Continued Examination (RCE) | |
| 1802 | 900 | 1802 | 900 | 900 | 900 | Request for expedited examination of a design application | |

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

(Complete if applicable)

| | | | | | |
|-------------------|--------------------|-----------------------------------|--------|-----------|--------------|
| Name (Print/Type) | Stephen J. Chapman | Registration No. (Attorney/Agent) | 32,776 | Telephone | 563-248-0100 |
| Signature | 9-6-2003 | | | | |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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